# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## FORM 4

**Washington, D.C. 20549**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. **Name and Address of Reporting Person**
   - **Andres Juan**
   - C/O MODERN, INC.
   - 200 TECHNOLOGY SQUARE
   - CAMBRIDGE, MA 02139

2. **Issuer Name and Ticker or Trading Symbol**
   - **Moderna, Inc. (MRNA)**

3. **Date of Earliest Transaction (Month/Day/Year)**
   - **08/13/2021**

4. **If Amendment, Date of Original Filed (Month/Day/Year)**
   - **08/13/2021**

5. **Relationship of Reporting Person(s) to Issuer**
   - **Officer (give title below)**
   - **10% Owner**

6. **Individual or Joint/Group Filing (Check Applicable Line)**
   - **Form filed by One Reporting Person**

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### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>Title of Security (Instr. 3)</th>
<th>Date of Earliest Transaction (Month/Day/Year)</th>
<th>Transaction Code</th>
<th>Amount (A) or (D)</th>
<th>Price (In Dollars)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common Stock</td>
<td>08/13/2021</td>
<td>M(1)</td>
<td>5,000</td>
<td>$12.21</td>
</tr>
<tr>
<td>Common Stock</td>
<td>08/13/2021</td>
<td>S(1)</td>
<td>5,000</td>
<td>$405</td>
</tr>
</tbody>
</table>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<table>
<thead>
<tr>
<th>Title of Derivative Security (Instr. 3)</th>
<th>Convertorion or Exercise Price of Derivative Security</th>
<th>Date of Conversion or Exercise (Month/Day/Year)</th>
<th>Transaction Code (Instr. 8)</th>
<th>Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 5)</th>
<th>Date Exercisable or Expiration Date (Month/Day/Year)</th>
<th>Price of Derivative Security (Instr. 5)</th>
<th>Number of Derivative Securities Beneficially Owned Following Report (Instr. 4)</th>
<th>Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$12.21</td>
<td>08/13/2021</td>
<td>M(1)</td>
<td>5,000</td>
<td>(2)</td>
<td>08/29/2027</td>
<td>Common Stock</td>
<td>D</td>
<td>D</td>
</tr>
</tbody>
</table>

**Explanation of Responses:**
1. The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2020, and amended on May 12, 2021.
2. 25% of this option vested and became exercisable on August 1, 2018 with the remainder vesting in 12 equal quarterly installments thereafter.

**Remarks:**
Chief Technical Operations and Quality Officer

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Signature of Reporting Person

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**Brian Sandstrom, as Attorney-in-Fact**

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