# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<table>
<thead>
<tr>
<th>1. Name and Address of Reporting Person</th>
<th>2. Issuer Name and Ticker or Trading Symbol</th>
<th>5. Relationship of Reporting Person(s) to Issuer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Andres Juan</td>
<td>Moderna, Inc. [ MRNA ]</td>
<td>Director (Check all applicable)</td>
</tr>
<tr>
<td>C/O MODERNA, INC.</td>
<td></td>
<td>10% Owner</td>
</tr>
<tr>
<td>200 TECHNOLOGY SQUARE</td>
<td></td>
<td>Other (specify below)</td>
</tr>
<tr>
<td>CAMBRIDGE MA 02139</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Remarks:**

Chief Technical Operations and Quality Officer

1. The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2020, and amended on May 12, 2021.

2. This option is fully vested and exercisable.

**Explanation of Responses:**

1. The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2020, and amended on May 12, 2021.

2. This option is fully vested and exercisable.

**Remarks:**

Chief Technical Operations and Quality Officer

**Signature of Reporting Person**

11/26/2021

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## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Security (Instr. 3)</th>
<th>2. Transaction Date (Month/Day/Year)</th>
<th>3. Transaction Code (Instr. 5)</th>
<th>4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)</th>
<th>5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)</th>
<th>6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>7. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common Stock</td>
<td>11/24/2021</td>
<td>A</td>
<td>5,000</td>
<td>$12.21</td>
<td>5,000</td>
<td>D</td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/24/2021</td>
<td>D</td>
<td>5,000</td>
<td>$273.01</td>
<td>0</td>
<td>D</td>
</tr>
</tbody>
</table>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Derivative Security (Instr. 3)</th>
<th>2. Conversion or Exercise Price of Derivative Security</th>
<th>3. Transaction Date (Month/Day/Year)</th>
<th>4. Transaction Code (Instr. 5)</th>
<th>5. Number of Derivatives Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)</th>
<th>6. Date Exercisable and Expiration Date (Month/Day/Year)</th>
<th>7. Title and Amount of Derivatives Securities Underlying Derivative Security (Instr. 3 and 4)</th>
<th>8. Price of Derivative Security (Instr. 5)</th>
<th>9. Number of Derivatives Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)</th>
<th>10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>11. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$12.21</td>
<td>11/24/2021</td>
<td>M</td>
<td>5,000 (1)</td>
<td>08/29/2027</td>
<td>Common Stock 5,000</td>
<td>$0.00</td>
<td>80,000</td>
<td>D</td>
<td></td>
</tr>
</tbody>
</table>

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**Explanation of Responses:**

1. The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2020, and amended on May 12, 2021.

2. This option is fully vested and exercisable.

**Remarks:**

Chief Technical Operations and Quality Officer

**Signature of Reporting Person**

11/26/2021

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**Note:**

- If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
- Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.