### Form 4

**United States Securities and Exchange Commission**

**Statement of Changes in Beneficial Ownership**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### 1. Name and Address of Reporting Person

Andres Juan

C/O MODERNA, INC.

200 Technology Square

CAMBRIDGE MA 02139

#### 2. Issuer Name and Ticker or Trading Symbol

**Moderna, Inc. [ MRNA ]**

#### 3. Date of Earliest Transaction (Month/Day/Year)

11/12/2021

#### 4. If Amendment, Date of Original Filed (Month/Day/Year)

11/15/2021

#### 5. Relationship of Reporting Person(s) to Issuer

- **Director**
- **10% Owner**
- **See remarks**

#### 6. Individual or Joint/Group Filing (Check Applicable Line)

- **X** Form filed by One Reporting Person
- **X** Form filed by More than One Reporting Person

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### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>1. Title of Security (Instr. 3)</th>
<th>2. Transaction Date (Month/Day/Year)</th>
<th>2A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th>3. Transaction Code (Instr. 8)</th>
<th>4. Securities Acquired (A) or Disposed Of (D) (Instr. 3 and 4)</th>
<th>5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)</th>
<th>6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>7. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common Stock</td>
<td>11/12/2021</td>
<td>M(1)</td>
<td>A</td>
<td>$12.21</td>
<td>5,000</td>
<td>D</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/12/2021</td>
<td>D(1)</td>
<td>D</td>
<td>$225.86</td>
<td>5,000</td>
<td>D</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/15/2021</td>
<td>M(1)</td>
<td>A</td>
<td>$12.21</td>
<td>5,000</td>
<td>D</td>
<td></td>
</tr>
<tr>
<td>Common Stock</td>
<td>11/15/2021</td>
<td>D(1)</td>
<td>D</td>
<td>$231.01</td>
<td>5,000</td>
<td>D</td>
<td></td>
</tr>
</tbody>
</table>

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<table>
<thead>
<tr>
<th>1. Title of Derivative Security (Instr. 3)</th>
<th>2. Conversion or Exercise Price of Derivative Security</th>
<th>3. Transaction Date (Month/Day/Year)</th>
<th>3A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th>4. Transaction Code (Instr. 8)</th>
<th>5. Number of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3 and 4)</th>
<th>6. Date Exercisable and Expiration Date (Month/Day/Year)</th>
<th>7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)</th>
<th>8. Price of Derivative Security (Instr. 5)</th>
<th>9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)</th>
<th>10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>11. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$12.21</td>
<td>11/12/2021</td>
<td>M(1)</td>
<td>5,000</td>
<td>(2) 08/29/2027 Common Stock 5,000 $0 105,000 D</td>
<td></td>
<td></td>
<td>5,000 $0 100,000 D</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock Option (Right to Buy)</td>
<td>$12.21</td>
<td>11/15/2021</td>
<td>M(1)</td>
<td>5,000</td>
<td>(2) 08/29/2027 Common Stock 5,000 $0 100,000 D</td>
<td></td>
<td></td>
<td>5,000 $0 100,000 D</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Explanation of Responses:**

1. The reported transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2020, and amended on May 12, 2021.

2. This option is fully vested and exercisable.

**Remarks:**

Chief Technical Operations and Quality Officer

**/s/ Brian Sandstrom, as Attorney-in-Fact**

**11/16/2021**

**Signature of Reporting Person Date**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

*If the form is filed by more than one reporting person, see Instruction 4 (b)(v).*


Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.